

NEW UTILITY PATENT APPLICATION TRANSMITTAL (only for new nonprovisional applications under

| Attorney Docket Number | 22271-08770 |
|------------------------|--|
| First Named Inventor | Abhishek Chauhan, et al. |
| Title | Using Statistical Analysis to Generate Exception Rules that Allow Legitimate Messages to Pass Through Application Proxies and Gateways |
| Express Mail Label No. | EV 342133346 |

| | Б | | 37 CFR 1.55(b)) | Express M | xpress Mail Label No. EV 342133346 | | | | |
|-----------------------------|--|--|---|-----------|------------------------------------|---|-------------|--------------------------|--|
| | | APPLICATION ELEMENTS | | | ACCOMPANYING APPLICATION PARTS | | | | |
| | 1. | I. 🛛 Fee Transmittal Form (in duplicate) | | | Assignment P | apers (cover s | heet & | document(s)) | |
| | 2. | Applicant of See 37 C | claims small entity status. FR 1.27 | 8. | Certified Copy is claim | | cument(| (s) (if foreign priority | |
| | 3. | Specification | on Total Pages 39 | 9. | Power of Atto | rney or Author | ization o | of Agent | |
| | | Descriptive | ment set forth below) e Title of the Invention | 10. | 37 CFR 3.73(| o) Statement | | | |
| | | □ Statement | erence(s) to Related Case(s) Regarding Fed sponsored R & D | 11. | Preliminary A | | | | |
| | | | d of the Invention nary of the Invention | 12. | | sclosure State opies of IDS C | | | |
| | | □ Brief Descr □ Detailed De □ Claim or C | | 13. | | n Request und B)(i). Applican B/35 or its equ | t must a | ittach form | |
| | | □ Abstract of | the Disclosure | 14. | Return Pos | | | · . | |
| | 4. | Drawing(s) | (35 U.S.C. 113) Total Sheets 5 |] 15. | | | | · | |
| | 5. | Oath or Declara | T-1-1 D | 1 16. | 님 | | | | |
| | | a. New Decl | laration Total Pages |] 17. | Ш | | | | |
| Executed (original or copy) | | | | | | | | | |
| | b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | | | | | | | |
| | | | ETION OF INVENTOR(S) | | | | | | |
| | | nam | ned statement attached deleting inventor(s). ed in the prior application, see 37 CFR (d)(2) and 1.33(b). | | | , | | | |
| | 6. | Application | Data Sheet. See 37 CFR 1.76 | | ADDRES | S TO: | | | |
| | | | | | | p Patent App | | 1 | |
| | | | | | Commis P.O. Bo | ssioner for Pa | itents | | |
| | | | | | | x 1450 Iria, VA 22313 | 3-1450 | | |
| | 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: | | | | | | | | |
| | Continuation Divisional Continuation-in-part (CIP) of prior application No:/ | | | | | | | | |
| | Prior application information: Examiner: Group/Art Unit: | | | | | | | | |
| | For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | |
| | 19. CORRESPONDENCE ADDRESS | | | | | | | | |
| | | | | | | | | | |
| | 00758 | | | | | | | | |
| Customer Number | | | | | | | · | | |
| | Nar | ne (Print/Type) | Rimma Budnitskaya | | Registration No. | (Attorney/Agent | | 48,237 | |
| | Sigi | nature | | mil | | Date | Febr | uary 18, 2004 | |

妻EE TRANSMITTAL Complete if Known Application Number **New Application** Filing Date February 18, 2004 for FY 2004 First Named Inventor Abhishek Chauhan et al. Not Yet Known Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Not Yet Known Art Unit TOTAC MOUNT OF PAYMENT (\$) Deferred Attorney Docket No. 22271-08770

| METHOD OF PAYME | NT (check all that apply) | THE STREET STREET | A SECTION OF THE PROPERTY OF T | ANTALOGICA ANTALOGICA DE SERVICIO ANTALOGICA | F | EE CALCULATION (continued) | |
|---|--|---------------------------|--|---|-----------------|---|---|
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other 🔀 None ☐ Deposit Account: | | | 3. ADDITIONAL FEES | | | | |
| Deposit Account Number | 19-2555 | Large Entity Small Entity | | Entity | Fee Description | Fee Paid | |
| Deposit Account Name | Fenwick & West LLP | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| | rized to: (check all that apply) | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1 = ' '' | pelow 🛛 Credit any overpayments | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| _ , , |) or any underpayment of fee(s) due 1.17 during the pendency of this | 1053 | 130 | 1053 | 130 | Non-English specification | |
| application | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge fee(s) indicated b | elow, except for the filing fee | 1804 1805 | 920* 1,840* | 1804 | 920* 1,840* | Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action | - |
| to the above-identified deposi | t account. | 1805 | 1,840" | 1605 | 1,040 | Requesting publication of SIR after Examiner action | |
| | LCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1. BASIC FILING FEE | · | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| Large Entity Small Entity | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Fee Fee Fee | Fee Description Fee Paid | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| Code (\$) Code (\$) | · | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1001 770 2001 385 | Utility filing fee 385 | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1002 340 2002 170 | Design filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1003 530 2003 265 | Plant filing fee | . 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1004 770 2004 385 | Reissue filing fee | 1451 - | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1005 160 2005 80 | Provisional filing fee | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| SUBTOT | AL (1) (\$) 385 | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES | FOR UTILITY AND REISSUE | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| Extra | Claims Fee from Fee Paid below | 1502 | 480 | 2502 | 240 | Design issue fee | |
| | 24 × 9 = 216 | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Independent Claims 3 -3** = (| 0 = 0 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Multiple Dependent | = | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(g) | |
| Large Entity Small Entity | , | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| Fee Fee Fee Code (\$) | Fee Description | 8021 | 40. | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1 1.1 1 1.1 | Claims in excess of 20 | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1201 86 2201 43 | Independent claims in excess of 3 | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1203 290 2203 145 | Multiple dependent claim, if not paid | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| | **Reissue independent claims over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| 1205 18 2205 9 | **Reissue claims in excess of 20 and over original patent | Other | fee (specif | y) | · · · · · · | | |
| | | | | | | | |
| SUBTOT | AL (2) (\$) 216.00 | | | | | SUBTOTAL (3) (\$) 0.00 | |
| **or number previously paid if o | reater: For Reissues, see above | •Reduc | ed by Basi | c Filina F | ee Paid | | |

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|-------------------|-----------------------------------|--------|------|--------------------------|--|
| Name (Print/Type) | Rimma Budnitskaya | Registration No. (Attorney/Agent) | 48,237 | | Telephone (415) 875-2401 | |
| Signature | / | Bul | | Date | February 18, 2004 | |

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor | | Abhishek Chauhan et al. | | | | |
|----------------------|---|-------------------------|--|--|--|--|
| Title | Using Statistical Analysis to Generate Exception Rules that Allow Legitimate Messages to Pass | | | | | |
| | Through Application Proxies and Gateways | | | | | |
| Atty Docket Number | | 22271-08770 | | | | |

I hereby certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 18, 2004

Date

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Rimma Budnitskaya, 48,237 Typed or printed name, Reg. No.:

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**